



CIRSM
CHARTERED INSTITUTE OF RISK & SECURITY MANAGEMENT

PROFESSIONAL GRADUATE DIPLOMA IN RISK AND SECURITY MANAGEMENT

NOVEMBER 2020 ASSIGNMENT QUESTIONS

Level 3

ETHICS AND CORPORATE GOVERNANCE

COURSE CODE: RSM 301

INSTRUCTIONS TO CANDIDATE

1. This question paper comprises of **2** printed pages.
2. The assignment is marked out of **75 marks**.
3. **ANSWER ALL QUESTIONS**
4. Start each question on a new page.
5. The assignment is due on the 9th of November 2020 and shall be uploaded on the CIRSM Student online learning portal website: www.cirsm.com.
6. Students should subscribe to the subjects they seek to write examinations on the website portal between the 18th of October 2020-8th of November 2020.
7. Students are expected **NOT** to exceed **4 pages** per question.
8. Students must submit the assignments in Microsoft Word format.
9. **Assignments expire after every examination sitting.**

You are reminded of the need for good English and clear presentation in your answers.

NB: PLAGIARISM AND COPYING IS AN ACADEMIC OFFENSE THAT MAY RESULT IN DISCIPLINARY ACTION. ALL PAPERS WILL BE SCANNED BY ANTI-PLAGIARISM SOFTWARE.

QUESTION 1

- a) Identify any organisation of your choice with a view of analysing the issues that need to be included in crafting its code of ethics.

Required:

Provide the organisational summary using the following guidelines: **Organisation name**, **Type of the organisation** (for example, profit or non-profit type of legal entity), **Size of the organisation** (in terms of turnover or number of employees), **Portfolio of products or services** and **Customer base** (Business to Business or Business to Customer).

[5 MARKS]



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- b) Identify and explain any **FIVE** major issues that you may include in the code of ethics for the organisation identified in question 1a) above. Motivate your answer by demonstrating why it is important to include such issues in the code of ethics. **[20 MARKS]**

QUESTION 2

Discuss the applicability of either the “Principles-based” or the “Rules-based” systems of corporate governance (in the organisation identified in 1a) above) demonstrating which approach is better in ensuring effective adherence to corporate governance principles in a country operated by the organisation. **[25 MARKS]**

QUESTION 3

You have been invited to a conference to present a paper on the importance of corporate governance in turning around the economy of your country. Using the organisation identified in 1a) above as a case study. Prepare a paper highlighting any **FIVE** major issues that you will present at the conference. **[25 MARKS]**

*****END OF ASSIGNMENT QUESTIONS*****